

## The NASDAQ Stock Market

# Notification Form: Cash Dividend/Distribution

Pursuant to SEC Rule 10b-17, a company must notify NASDAQ® ten calendar days prior to the record date of a dividend or other distribution in cash or in kind, including a dividend or distribution of any security. Advanced notification enables The NASDAQ Stock Market to set the ex-dividend date two days prior to the record date for a cash dividend or distribution that is less than 25 percent of the security value. In the case of a cash dividend or distribution that is 25 percent or greater of the security value, the ex-dividend date will be set the first business day following the payable date. Notification also enables NASDAQ to display on NASDAQ terminals the amount and type of dividend on the ex-dividend date and to adjust the applicable index values, which helps maintain a fair and orderly market in the company's securities so they can be bought and sold without misunderstandings and disputes arising from stock and dividend distribution. This form may be duplicated and used for notification of a distribution of securities of another issuer.

The information provided in this form shall be deemed public information unless clearly noted otherwise.

COMPANY NAME \_\_\_\_\_

ADDRESS OF PRINCIPAL EXECUTIVE OFFICES \_\_\_\_\_

ADDRESS \_\_\_\_\_

CITY/STATE/ZIP CODE \_\_\_\_\_

TELEPHONE \_\_\_\_\_

FACSIMILE \_\_\_\_\_

E-MAIL \_\_\_\_\_

Issue listed on:  The NASDAQ National Market®  The NASDAQ SmallCap Market<sup>SM</sup>

Issue symbol: \_\_\_\_\_

Class of security: \_\_\_\_\_

CUSIP\*/CINS number: \_\_\_\_\_

\*CUSIP Service Bureau can be reached at 212.438.8565.

Transfer agent: \_\_\_\_\_

Total shares outstanding: \_\_\_\_\_ As of date: \_\_\_\_\_

Declaration date: \_\_\_\_\_

Amount of cash per share: \_\_\_\_\_



Dividend amount is (please check one):

Monthly    Quarterly    Semi-annual    Annual    Special    Other

Record date: \_\_\_\_\_ Distribution/Payment Date: \_\_\_\_\_

Annual cash dividend rate: \_\_\_\_\_

Explain any conditions, which must be met (for example, shareholder approval, government approval, SEC registration, etc.) for this distribution to become effective:

\_\_\_\_\_  
\_\_\_\_\_

CONTACT NAME \_\_\_\_\_

TITLE \_\_\_\_\_

ADDRESS \_\_\_\_\_

ADDRESS \_\_\_\_\_

TELEPHONE \_\_\_\_\_ FACSIMILE \_\_\_\_\_ E-MAIL \_\_\_\_\_

AUTHORIZED SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

**Return via email to [dividends@nasdaq.com](mailto:dividends@nasdaq.com) or by fax to:**

The Nasdaq Stock Market, Inc.  
Market Integrity Department  
80 Merritt Boulevard  
Trumbull, CT 06611  
Telephone: 203.375.9609  
Fax: 203.385.6381

