

■ The NASDAQ Stock Market

# Notification Form: Change in Company Name

When a NASDAQ-listed company changes its name, it must submit this form to NASDAQ®. NASDAQ requires this form be sent no later than ten days after a name change; however, NASDAQ recommends submitting this information up to 2 days prior to the name change. Please note: When a company changes its name, it is also required to execute a new *Listing Agreement*\*.

COMPANY NAME (PRIOR TO CHANGE) \_\_\_\_\_

COMPANY NAME (AFTER CHANGE) \_\_\_\_\_

ADDRESS OF PRINCIPAL EXECUTIVE OFFICES \_\_\_\_\_

CITY/STATE/ZIP CODE \_\_\_\_\_

TELEPHONE \_\_\_\_\_ FACSIMILE \_\_\_\_\_ E-MAIL \_\_\_\_\_

Issue listed on:  The NASDAQ National Market®       The NASDAQ SmallCap Market<sup>SM</sup>

Issue symbol: \_\_\_\_\_

CUSIP\*/CINS number (prior to change): \_\_\_\_\_

CUSIP\*/CINS number (after change): \_\_\_\_\_

\* CUSIP Service Bureau can be reached at 212.438.6565.

Effective date of charter amendment changing name: \_\_\_\_\_

Date of shareholder approval of change, if required: \_\_\_\_\_

Companies which intend to change their trading symbol should indicate if a symbol has already been reserved.

Yes     No     N/A

If yes, please indicate new symbol: \_\_\_\_\_

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If no, NASDAQ recommends a symbol be reserved prior to completion of this form; however, it is not required. To reserve a symbol, submit a request via e-mail including three proposed symbols in order of preference to *symbol.reservation@nasdaq.com*.

All choices must comprise four alpha characters. A Symbol Reservation Confirmation letter will be sent upon reservation of a new symbol. If symbol is reserved after this form has been submitted, the company should send a letter, signed by an officer, stating the new symbol, the desired effective date and that the symbol reservation has been confirmed by NASDAQ, to the address below.

OFFICER'S NAME	TITLE
OFFICER'S SIGNATURE	DATE

**Return by mail *or* fax to:**

The NASDAQ Stock Market  
Market Data Integrity  
80 Merritt Boulevard  
Trumbull, CT 06611  
203.375.9609  
203.385.6381 Fax

\* The NASDAQ Listing Agreement is available upon request from your NASDAQ Director or Listing Qualifications Analyst, or may be printed from *www.NASDAQ.com*. Please attach a copy of this Notification Form to the new *Listing Agreement*.